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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/806,451	03/23/2004	Kunihiko Kodama	Q80326	1792

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EXAMINER

WALKE, AMANDA C

ART UNIT	PAPER NUMBER
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1752

DATE MAILED: 07/26/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Advisory Action
Before the Filing of an Appeal Brief**

Application No.

10/806,451

Applicant(s)

KODAMA, KUNIIHIKO

Examiner

Amanda C. Walke

Art Unit

1752

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 7 July 2006 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1. ☒ The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a) ☒ The period for reply expires 4 months from the mailing date of the final rejection.
b) ☐ The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. ☐ The Notice of Appeal was filed on _____. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMENDMENTS

3. ☐ The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because
(a) ☐ They raise new issues that would require further consideration and/or search (see NOTE below);
(b) ☐ They raise the issue of new matter (see NOTE below);
(c) ☐ They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or
(d) ☐ They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: _____. (See 37 CFR 1.116 and 41.33(a)).


4. ☐ The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
5. ☐ Applicant's reply has overcome the following rejection(s): _____.
6. ☐ Newly proposed or amended claim(s) _____ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).
7. ☐ For purposes of appeal, the proposed amendment(s): a) ☐ will not be entered, or b) ☐ will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.
The status of the claim(s) is (or will be) as follows:
Claim(s) allowed: _____.
Claim(s) objected to: _____.
Claim(s) rejected: _____.
Claim(s) withdrawn from consideration: _____.

AFFIDAVIT OR OTHER EVIDENCE

8. ☐ The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
9. ☐ The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
10. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

REQUEST FOR RECONSIDERATION/OTHER

11. ☒ The request for reconsideration has been considered but does NOT place the application in condition for allowance because:
See Continuation Sheet.
12. ☐ Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s). _____.
13. ☐ Other: _____.


Amanda C. Walke
Primary Examiner
Art Unit: 1752

7/21/06

Continuation of 11. does NOT place the application in condition for allowance because: Applicant has again argued that the examples of instant specification demonstrate that unexpected results are achieved in reducing the development defects of a resist by employing a sulfonium acid generator of the instantly claimed structure over that of the prior art of record (namely an unsubstituted triphenylsulfonium compound). The examiner has considered the results of inventive resin I and comparative resin I wherein the sole difference between the two resins is the PAG (see tables on pages 81 and 84). The PAG in the inventive sample, I-1, comprises an aryl group with a hydroxyl group as well as two methyl groups. It is noted that the broadest claim limitation (claim 1) simply requires that there be a hydroxyl group present on one phenyl ring as no Ra1 group is required to be present given that l, m, and n may be zero. The presence of two additional groups on one ring with the hydroxyl group is a preferred embodiment, as disclosed in the last paragraph on page 44, where it is taught that preferred RA1 groups are alkyl and alkoxy, most preferably alkyl. Therefore, the sample is not commensurate in scope with the instant claims, thus the evidence is not persuasive. Furthermore, upon consultation with a PTO Quality Assurance Specialist, the examiner maintains her position that given the teachings of the reference on pages 21, 22, 27, and 28, that one of ordinary skill in the art would have been motivated to prepare a triphenylsulfonium compound having a hydroxyl group on one ring. As is taught in the broadest teaching of the reference, the three substituents on the sulfur atom are either alkyl or aryl, and there are 8 substituents suitable for the aryl group, four of which are exemplified. Those include hydroxyl (7 compounds see pages 27 and 28), alkyl, alkoxy, and halogen. While it is true that the none of the disclosed triphenylsulfonium compounds have a hydroxyl substituent, given the majority of compounds having a substituted aryl have a hydroxyl group on the ring, it is clear that one of ordinary skill in the art would have specifically contemplated substituting an aryl/ phenyl ring of the triphenylsulfonium compound with a hydroxyl group. For these reasons, the examiner maintains her position.